



INDIAN INSTITUTE OF BANKING & FINANCE
Professional Development Centre – Eastern Zone, Kolkata

VIRTUAL TRAINING PROGRAMME ON

AML, KYC & Compliance

29th - 31st January, 2024

Last Date to Apply : 24 January, 2024

Self Sponsored candidates are encouraged to apply



For Details & Nomination, please contact:

Mr. Tusharendra Barpanda
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IIBF has emerged as a premier institute on Banking and Finance education. It is the largest institute of its kind in the world and is working with a Mission to “develop professionally qualified & competent bankers and finance professionals primarily through a process of education, training, examination, consultancy/counselling and continuing professional development programs”.

Background of the Programme

Know Your Customer is the process of verifying the identity of customer. The objective of KYC guidelines is to prevent banks from being used, by criminal elements for money laundering activities. It also enables banks to understand its customers and their financial dealings to serve them better and manage its risks prudently. Though anti-money laundering laws cover a limited range of transactions and criminal behavior, their implications are far-reaching. Banks use AML and KYC compliance to ensure the safety and security of the financial institutions, as well. Reserve Bank of India (RBI) has issued comprehensive guidelines on Compliance Function in Banks and directed that implementation of the guidelines would be subjected to comprehensive review by the RBI during the Annual Risk Based Supervision (RBS). In 2015, the RBI observed that certain supervisory concerns continue to recur, necessitating a more effective Compliance Regime in Banks. RBI then advised that greater oversight is required in the areas of Risk Based Supervision, Independence of Compliance Function, Review of Compliance Function, Manpower planning of Compliance Departments, Compliance of Monitorable Action Plan / Risk Mitigation Plan, Compliance Testing, Submission of Compliance Reports and promoting a Compliance Culture.

Taking a note of RBI Guidelines, IIBF has designed a Comprehensive Training Programme on AML-KYC & Compliance for Banks.

Content overview

- Money Laundering - Origin and Preventive Legislations, KYC framework of RBI and operating guidelines
- KYC for individual accounts/Prop. Firm/Company/Trust/PEP etc., KYC for Non-face to face customer and meaning of Beneficial Owner (BO)
- Customer Due Diligence - SDD, CDD, EDD, E-KYC, C-KYC, Digital KYC etc., Risk Classification and Review of Accounts
- Transaction Monitoring Process, Financial Intelligence Unit (FIU)-India/FATF
- Trade-Based Money Laundering - Preventive Measures
- AML/KYC in Correspondent Banking/Exchange Companies and the meaning of virtual assets and international practices
- Latest aspects on Banking Compliance such as, Role of Regulators I Banking with reference to RBI, SEBI, NABARD, SIDBI, NHB, FIMDA
- Anti-Money Laundering / KYC Compliance :- KYC, EDD, PMLA, FATCA, FIU, Red Flag Indicators, AML Returns

Target Group Officers/Executives at Middle and Senior Management level in PSU/RRBs/ Co- op Banks and Private sector banks/Foreign Banks, working in Branches/ROs/Zos/Cos and Corporate Office handling Deposits/ Credits/ Remittances, Risk Management, Delivery channels.

DURATION	METHODOLOGY	TRAINING FEES
3-days during 29th-31st January 2024 Programme Timing: 10.00 AM to 5.30 PM each day	Virtual (Online Based on Zoom) interactive lectures, Case Studies, presentations and sharing of experiences Participants can join the programme from anywhere by using devices with Internet like PC, Laptop, Tablet or Mobile. Internet connection with good speed is required to stream live Virtual sessions. <u>Sessions will be handled by experienced faculties and experts from industry</u> (Last Date to Apply : 24/01/2024)	Rs. 6,000/- per participant plus GST @18% aggregating to Rs. 7,080/-. (Kindly provide your GST Number in the nomination letter to facilitate the raising of Invoice)



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Professional Development Centre – Eastern Zone, Kolkata

NOMINATION FORM

Programme Title: AML-KYC & Compliance **Mode of Programme:** Virtual Mode

Date: 29th-31st January 2024 (**LAST DATE TO APPLY : 24th January, 2024**)

Details of Nomination (to be filled by the Banks/FIs/Other Organizations):

Sl. No.	Name of Participant	Designation	Branch/ Office	Contact No.	E-mail Id.
1					
2					
3					
4					
5					

Fees Paid Rs. _____ UTR/Transaction No. _____ Date of Payment: _____

Name of Sponsoring Bank / FI: _____ **GSTN of Bank/FI:** _____

Address of the Bank/FI: _____

Phone/Mob. No. _____ E-mail id.: _____

FEE : Rs. 6,000/- per participant plus GST @ 18% i.e. Rs.1080/- aggregating to Rs. 7,080/- (In case of TDS deduction, please send us the TDS certificate).

Programme fees may be remitted to the credit of Institute's Bank Account as below:

- ✓ **Name of Account Holder:** Indian Institute of Banking & Finance
- ✓ **Name of the Bank Branch:** State Bank of India, Vidya Vihar (West), Mumbai.
- ✓ **Savings Account No.** 37067835940 **IFSC:** SBIN0011710
- ✓ **PAN No.** AAATT3309D; **GST No.** 19AAATT3309D2ZO, **State** - West Bengal

CONTACT DETAILS:

Mr. Tusharendra Barpanda Zonal Head, PDC-EZ, IIBF Email : head-pdcez@iibf.org.in Mob. No. 9717005551	Ms. Samriddhi Guha Jr. Executive, PDC-EZ, IIBF Email : je.pdcez2@iibf.org.in Mob. No. 8420475917	Ms Sneha Datta Jr. Executive, PDC-EZ, IIBF Email:je.pdcez3@iibf.org.in Mob. No. 9831637175
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CORRESPONDENCE ADDRESS : Indian Institute of Banking & Finance, PDC-EZ, Avani Heights, 2nd Fl.,

59A, Jawaharlal Nehru Road, Nr. Ravindra Sadan Metro Station, Kolkata – 700020



INDIAN INSTITUTE OF BANKING & FINANCE

Professional Development Centre – Eastern Zone, Kolkata

NOMINATION FORM FOR SELF-SPONSORED CANDIDATES

Programme Title: AML-KYC & Compliance **Mode of Programme:** Virtual Mode

Date: 29th-31st January 2024 (LAST DATE TO APPLY : 24th January, 2024)

Sl. No	Name (Mr/Mrs/Ms)	Designation	Contact No. (Mobile)	E-mail (PERSONAL)	Address (personal)	UTR NUMBER

Name of Bank/ FI employed with:_____

Address of Bank/ FI employed with:_____

FEE : Rs. 6,000/- per participant plus GST @ 18% i.e. Rs.1080/- aggregating to Rs. 7,080/- (In case of TDS deduction, please send us the TDS certificate).

Programme fees may be remitted to the credit of Institute's Bank Account as below:

- ✓ **Name of Account Holder:** Indian Institute of Banking & Finance
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